

Tuscany Water, Inc.
David C. Owen
Al Pereyda
Beverly Hawks
Roger Hawks

Notice of Intent to Invoke Administrative Sanctions Under the Kansas Securities Act

Date: January 20, 2004

Respondents: David C. Owen, Overland Park, Kansas; Al Pereyda, Kansas City, Missouri; Beverly Hawks, Shawnee, Kansas; Roger Hawks, Shawnee, Kansas

Read allegations in the [Press Release issued January 21, 2004](#)

Consent Order

Date: April 16, 2004

Respondents: Beverly Hawks, Shawnee, Kansas; Roger Hawks, Shawnee, Kansas

Respondents Beverly Hawks and Roger Hawks have entered into a Consent Order whereby respondents shall immediately Cease and Desist from transacting business in the state of Kansas as broker- dealers. As part of the Consent Order, respondents neither admit nor deny the allegations set forth in the Stipulation for Consent Order issued on April 16, 2004.

Order to Impose Administrative Sanctions

Date: August 17, 2004

Respondent: Al Pereyda

The Commissioner issued an Order to Impose Administrative Sanctions Under the Kansas Securities Act as respondent failed to file a request for hearing within 33 days after service of the Notice of Intent to Invoke Administrative sanctions. The terms of the Order are: Respondent was ordered to cease and desist from engaging in any acts or practices which constitute violations of

the Kansas Securities Act. Furthermore, respondent was barred from association with any broker- dealer or investment adviser registered in the State of Kansas.

Consent Order

Date: September 23, 2004

Respondent: David C. Owen

Respondent David C. Owen has entered into a Consent Order whereby respondent shall immediately Cease and Desist from transacting business in the state of Kansas as broker-dealer and offering for sale or selling unregistered securities. As part of the Consent Order, respondent neither admits nor denies the allegations set forth in the Stipulation for Consent Order. Pursuant to the Consent Order, respondent was ordered to pay rescission to three investors in the amount of \$36,000.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.